

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

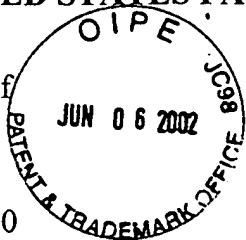
In re Patent Application of

KATSUYA

Serial No. 09/617,120

Filed: July 14, 2000

For: Liquid Crystal Display Device Having High Light Utilization Efficiency



Atty. Ref.: 925-151

Group: 2871

Examiner:

*4/I.D.S.  
G. Stucky  
6-11-02*

TECHNOLOGY CENTER 2800

JUN -7 2002

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\* \* \* \* \*

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

## INFORMATION DISCLOSURE STATEMENT

- |                                     |  |          |
|-------------------------------------|--|----------|
| <input checked="" type="checkbox"/> | 1. <b>PTO-1449 Pursuant to 37 CFR 1.97(b)</b><br>[within 3 months of filing or prior to 1st Office Action] | N/C      |
| <input type="checkbox"/>            | 2. <b>Statement Pursuant to 37 CFR 1.97(c)</b><br>[before Final Office Action or Allowance]                | N/C      |
| <input type="checkbox"/>            | 3. <b>Fee Payment Pursuant to 37 CFR 1.97(c)</b><br>[before Final Office Action or Allowance]              | \$180.00 |
| <input type="checkbox"/>            | 4. <b>Pursuant to 37 CFR 1.97(d)</b><br>[before Issue Fee payment]   | \$180.00 |

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- ☒ 5. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith. The attached form PTO-1449 is based on a Korean Office Action mailed April 30, 2002 with respect to this disclosure, a copy of which is attached.
- ☒ a) U.S. Patent 5,790,213 corresponds to JP Patent 5-265039.

This paper is submitted in accordance with:

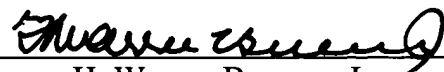
- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

- ☐ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 9 below.
- ☐ 9. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,  
NIXON & VANDERHYE P.C.

June 6, 2002

By: \_\_\_\_\_



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